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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/986,190	11/07/2001	Takayuki Nimiya	OGW-0203	4895

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EXAMINER

WATSON, ROBERT C

ART UNIT PAPER NUMBER

3723

DATE MAILED: 06/08/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/986,190

Applicant(s)

NIMIYA ET AL.

Examiner

Robert C. Watson

Art Unit

3723

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 May 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 4-12 is/are pending in the application.
- 4a) Of the above claim(s) 7-12 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 4-6 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

Art Unit: 3723

This is in response to the communication of 5/21/04. A Final Rejection was mailed on 5/28/04 in response to the communication of 4/5/04. Since the papers crossed in the mail the Final Rejection of 5/28/04 is hereby withdrawn and this new Final Rejection responds to the 5/21/04 communication.

The amendment of 5/21/04 proposes to cancel all claims to "a method of building the overhead infrastructure" and presents new claims to "a method of managing an overhead infrastructure". These two inventions are independent and distinct as set forth hereinbelow. **Inasmuch as the Office does not permit a "shift" of inventions the amendment of 5/21/04 has not been entered.** Accordingly, the following new Final rejection responds to the only proper amendment submitted; ie., the 4/5/04 amendment:

Insofar as the claims can be understood, claims 4-6, according to their preamble are directed to a "method for building an overhead infrastructure". New claims 7- 12 according to their preamble are directed to a "method of managing an overhead infrastructure. The new claims 7-12 use a "coil" without specifically setting forth a step of "elongating" the coil. Further, these claims appear to use an existing infrastructure rather than reciting steps of building an overhead infrastructure. Accordingly, the method of building the infrastructure of claims 7-12 is a different method than that used to build the infrastructure of claim 4-6. For example, the built infrastructure of claims 7-12 could be built by using a coil that has not undergone a step of elongation. The search for method of claims 4-6 is different from the search for the method of claims 7-12. Moreover, "managing" is an independent and distinct category of invention as

Art Unit: 3723

contrasted with "building". For these reasons restriction between the invention of the method of claims 4-6 and the method of claims 7-12 is considered proper.

Newly submitted claims 7-12 are directed to an invention that is independent or distinct from the invention originally claimed for the reasons set forth above.

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claims 7-12 are withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 1.142(b) and MPEP § 821.03.

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 4-6 are rejected under 35 U.S.C. 101 because applicant has claimed plural categories of invention in a single claim. The statute's language proscribes that "invention" is in the singular and not the plural. It is noted that applicants in paper no. 4 make the admission that these claims are "including both of a process of making and a process of using an overhead infrastructure". Applicant's attempt to claim plural inventions in a single claim is unstatutory.

Claims 4-6 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it

Art Unit: 3723

pertains, or with which it is most nearly connected, to make and/or use the invention.

One skilled in the art, armed with the present specification, would be unable to "manage the business conductors", "provide for rent or sale with a fee according to a number and weight of the overhead lines", or "set a size of the overhead cableway based on estimated demand". There are no specific formulas presented in the specification to arrive at these calculations and one skilled in the art would have to perform undue experimentation to achieve these recited results.

Claims 4-6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. These claims appear to be directed to more than one invention. As such, the metes and bounds of these claims are indeterminate of scope. Moreover, the claims are replete with vague and indefinite terms; eg., "managed", "business conductors", "administrator", "rights". What kind of entity is a business conductor? Is it a person, a corporation, or is it the cable that conducts electricity? No new matter will be allowed to be entered in this case.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 4-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chikiri et al in view of Kuenzi.

Figure 2 of Chikiri et al shows an elongate coil 8 having a resin coating that has been inserted outside around a tensile line 2. Chikiri does not specifically mention the process of "plastic deformation of the coil". However, it is obvious that plastic deformation must have taken place to form the coil shown in Figure 3A of Chikiri. Chikiri et al states that the coil is elongated by being "bent" (Chikiri, column 3, line 52). This is considered to be a process that obviously plastically deforms the coil. In Chikiri et al the coil was elongated prior to being inserted outside around the tensile line. However, claim 1 does not specify the time that the coil is elongated. Claim 5 would read on the coil being elongated at the time of manufacture of the elongated coil. The size of the coil both before and after the elongation is no more than an obvious matter of design choice absent a showing of criticality for this feature. Chikiri et al only discloses that a single cable is being pulled in the installation process.

Kuenzi teaches a process whereby a device, 5, is used in the installation process such that more than one cable can be installed in one pulling process.

To employ a device on the pulling line of Chikiri et al so as to simultaneously pull, on demand, any number of cables simultaneously would have been obvious for one skilled in the art at the time the invention was made in view of the disclosure of Kuenzi. One of ordinary skill in the art would have been motivated to do this in order to more efficiently install more than one cable on the overhead infrastructure. It is axiomatic for one skilled in the art, that the pull line must have the requisite tensile strength to pull

Art Unit: 3723

the total number of cables being pulled otherwise the pull line will break. Accordingly, one skilled in the art would be armed with basis statics and strength of materials knowledge and that one skilled in the art would undoubtedly make sure that the pull line has the requisite strength taking into account all factors such as the size, weight, length, number of cables, and the drag on the cables from the reels they are being dispensed from. Insofar as the claims can be understood, one skilled in the art would know that the number of cables, size of cable, and length of cables will be dictated from the "demand" for such cables. It would have been obvious for one skilled in the art to select the number of cables, size of cable, and length of cable commensurate with the "demand" for such cabling. It would further have been obvious for one skilled in the art to make such selections based on the projected "sale" or "rent" projections; ie, it is obvious that a profit has to be achieved and selections will be obviously made commensurate with the desire to achieve such a profit.

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 4-6 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over any of claims 1-8 of U.S. Patent No. 6,505,818 in view of Kuenzi.

The claims of U.S. Patent No. 6,505,818 teach the installation of a single cable on an overhead infrastructure.

Kuenzi teaches a process whereby a device, 5, is used in the installation process such that more than one cable can be installed in one pulling process.

To employ a device on the pulling line of the invention set forth in claims of U.S. Patent No. 6,505,818 so as to simultaneously pull, on demand, any number of cables simultaneously would have been obvious for one skilled in the art at the time the invention was made in view of the disclosure of Kuenzi. One of ordinary skill in the art would have been motivated to do this in order to more efficiently install more than one cable on the overhead infrastructure. It is axiomatic for one skilled in the art, that the pull line must have the requisite tensile strength to pull the total number of cables being pulled otherwise the pull line will break. Accordingly, one skilled in the art would be armed with basis statics and strength of materials knowledge and that one skilled in the art would undoubtedly make sure that the pull line has the requisite strength taking into account all factors such as the size, weight, length, number of cables, and the drag on the cables from the reels they are being dispensed from. Insofar as the claims can be understood, one skilled in the art would know that the number of cables, size of cable, and length of cables will be dictated from the "demand" for such cables. It would have been obvious for one skilled in the art to select the number of cables, size of cable, and



Art Unit: 3723

length of cable commensurate with the "demand" for such cabling. It would further have been obvious for one skilled in the art to make such selections based on the projected "sale" or "rent" projections; ie, it is obvious that a profit has to be achieved and selections will be obviously made commensurate with the desire to achieve such a profit.

Applicants' remarks have been given careful consideration. Applicants, by their own admission, in the paper of 10/9/03, are claiming in a single claim two distinct categories of invention. Applicants have stated that each claim is "comprising or including both a process of making and using an overhead infrastructure". Moreover, applicants have stated that each claim "includes a step of making the basis construction and also the step of using the basic construction". Applicants' bold intent to claim these plural distinct categories of invention in a single claim is noted. However, such claim(s) violate 35 USC 101, 35 USC 112 first paragraph, and 35 USC 112 second paragraph. Applicants' suggestion that the 35USC103 rejection and the obvious double patenting rejections do not meet the claim limitations is found to be in error. In particular applicants ignore the level of skill of one ordinary skill in the art when stating that selecting the number of cables to be strung between poles based upon a demand for cables or choosing what tensile strength the line should have are not obvious for one skilled in the art.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within

Art Unit: 3723

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert C. Watson whose telephone number is 703 308-1747. The examiner can normally be reached on Mon. - Thurs. , 5:30am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph J. Hail III can be reached on 703 308-2687. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

rcw



ROBERT C. WATSON  
PRIMARY EXAMINER